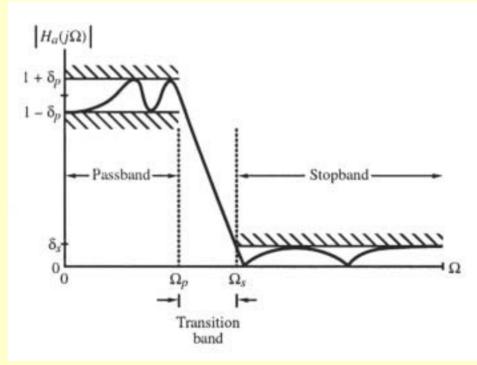
#### Analog Lowpass Filter Specifications

• Typical magnitude response  $|H_a(j\Omega)|$  of an analog lowpass filter may be given as indicated below



1

Copyright © 2001, S. K. Mitra

#### Analog Lowpass Filter Specifications

• In the **passband**, defined by  $0 \le \Omega \le \Omega_p$ , we require

$$1 - \delta_p \leq |H_a(j\Omega)| \leq 1 + \delta_p, \quad |\Omega| \leq \Omega_p$$

i.e.,  $|H_a(j\Omega)|$  approximates unity within an error of  $\pm \delta_p$ 

• In the **stopband**, defined by  $\Omega_s \leq \Omega \leq \infty$ , we require

$$|H_a(j\Omega)| \leq \delta_s, \quad \Omega_s \leq |\Omega| \leq \infty$$

i.e.,  $|H_a(j\Omega)|$  approximates zero within an error of  $\delta_s$ 

#### Copyright © 2001, S. K. Mitra

#### $\alpha_s = -20\log_{10}(\delta_s) dB$

 $\alpha_p = -20\log_{10}(1 - \delta_p) \, \mathrm{dB}$ 

Minimum stopband attenuation

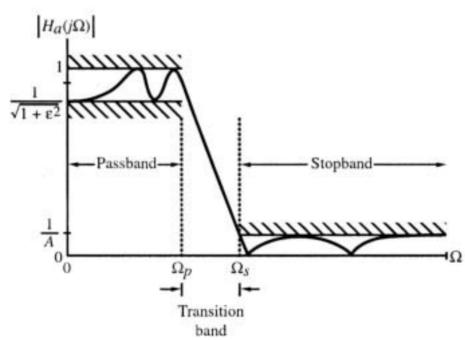
Peak passband ripple

- $\delta_s$  **peak ripple value** in the stopband
- Ω<sub>s</sub> stopband edge frequency
  δ<sub>p</sub> peak ripple value in the passband
- $\Omega_p$  passband edge frequency

# Analog Lowpass Filter Specifications

#### Analog Lowpass Filter Specifications

• Magnitude specifications may alternately be given in a normalized form as indicated below



#### Analog Lowpass Filter Specifications

• Here, the maximum value of the magnitude in the passband assumed to be unity

- $1/\sqrt{1+\varepsilon^2}$  Maximum passband deviation, given by the minimum value of the magnitude in the passband
- $\frac{1}{A}$  Maximum stopband magnitude

#### **Analog Lowpass Filter Design**

• Two additional parameters are defined -

(1) **Transition ratio** 
$$k = \frac{\Omega_p}{\Omega_s}$$

For a lowpass filter k < 1

(2) **Discrimination parameter**  $k_1 = \frac{\varepsilon}{\sqrt{A^2 - 1}}$ Usually  $k_1 << 1$ 

• The magnitude-square response of an *N*-th order analog lowpass **Butterworth filter** is given by

$$\left|H_{a}(j\Omega)\right|^{2} = \frac{1}{1 + (\Omega/\Omega_{c})^{2N}}$$

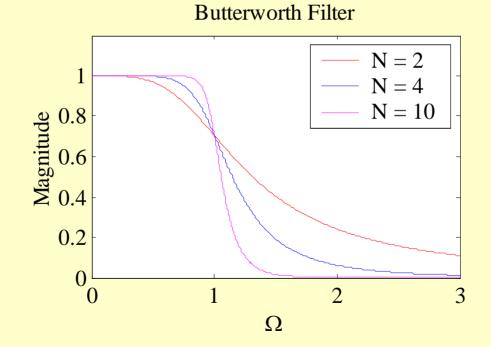
- First 2N 1 derivatives of  $|H_a(j\Omega)|^2$  at  $\Omega = 0$  are equal to zero
- The Butterworth lowpass filter thus is said to have a **maximally-flat magnitude** at  $\Omega = 0$

7

• Gain in dB is  $G(\Omega) = 10\log_{10} |H_a(j\Omega)|^2$ 

• As G(0) = 0 and  $G(\Omega_c) = 10\log_{10}(0.5) = -3.0103 \cong -3 \, \text{dB}$  $\Omega_c$  is called the 3-dB cutoff frequency

• Typical magnitude responses with  $\Omega_c = 1$ 



- Two parameters completely characterizing a Butterworth lowpass filter are  $\Omega_c$  and N
- These are determined from the specified bandedges  $\Omega_p$  and  $\Omega_s$ , and minimum passband magnitude  $1/\sqrt{1+\varepsilon^2}$ , and maximum stopband ripple 1/A

•  $\Omega_c$  and N are thus determined from

$$\left| H_{a}(j\Omega_{p}) \right|^{2} = \frac{1}{1 + (\Omega_{p} / \Omega_{c})^{2N}} = \frac{1}{1 + \varepsilon^{2}}$$
$$\left| H_{a}(j\Omega_{s}) \right|^{2} = \frac{1}{1 + (\Omega_{s} / \Omega_{c})^{2N}} = \frac{1}{A^{2}}$$

• Solving the above we get

$$N = \frac{1}{2} \cdot \frac{\log_{10}[(A^2 - 1)/\varepsilon^2]}{\log_{10}(\Omega_s / \Omega_p)} = \frac{\log_{10}(1/k_1)}{\log_{10}(1/k)}$$

- Since order *N* must be an integer, value obtained is rounded up to the next highest integer
- This value of N is used next to determine  $\Omega_c$  by satisfying either the stopband edge or the passband edge specification exactly
- If the stopband edge specification is satisfied, then the passband edge specification is exceeded providing a safety margin

• Transfer function of an analog Butterworth lowpass filter is given by

$$H_{a}(s) = \frac{C}{D_{N}(s)} = \frac{\Omega_{c}^{N}}{s^{N} + \sum_{\ell=0}^{N-1} d_{\ell} s^{\ell}} = \frac{\Omega_{c}^{N}}{\prod_{\ell=1}^{N} (s - p_{\ell})}$$

where

$$p_{\ell} = \Omega_c e^{j[\pi(N+2\ell-1)/2N]}, \ 1 \le \ell \le N$$

• Denominator  $D_N(s)$  is known as the Butterworth polynomial of order N

- <u>Example</u> Determine the lowest order of a Butterworth lowpass filter with a 1-dB cutoff frequency at 1 kHz and a minimum attenuation of 40 dB at 5 kHz
- Now  $10\log_{10}\left(\frac{1}{1+e^2}\right) = -1$ which yields  $\varepsilon^2 = 0.25895$ and  $10\log_{10}\left(\frac{1}{A^2}\right) = -40$ which yields  $A^2 = 10,000$

# • Therefore $\frac{1}{k_1} = \frac{\sqrt{A^2 - 1}}{\varepsilon} = 196.51334$ and $\frac{1}{k} = \frac{\Omega_s}{\Omega_p} = 5$

• Hence

$$N = \frac{\log_{10}(1/k_1)}{\log_{10}(1/k)} = 3.2811$$

• We choose N = 4

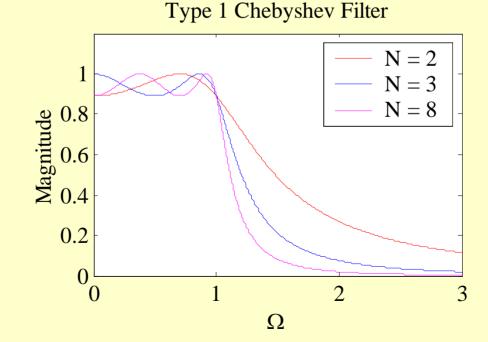
• The magnitude-square response of an *N*-th order analog lowpass **Type 1 Chebyshev filter** is given by

$$\left|H_{a}(s)\right|^{2} = \frac{1}{1 + \varepsilon^{2} T_{N}^{2}(\Omega/\Omega_{p})}$$

where  $T_N(\Omega)$  is the Chebyshev polynomial of order *N*:

$$T_N(\Omega) = \begin{cases} \cos(N\cos^{-1}\Omega), & |\Omega| \le 1\\ \cosh(N\cosh^{-1}\Omega), & |\Omega| > 1 \end{cases}$$

• Typical magnitude response plots of the analog lowpass Type 1 Chebyshev filter are shown below



• If at  $\Omega = \Omega_s$  the magnitude is equal to 1/A, then

$$\left|H_{a}(j\Omega_{s})\right|^{2} = \frac{1}{1 + \varepsilon^{2}T_{N}^{2}(\Omega_{s}/\Omega_{p})} = \frac{1}{A^{2}}$$

- Solving the above we get  $N = \frac{\cosh^{-1}(\sqrt{A^2 - 1}/\varepsilon)}{\cosh^{-1}(\Omega_s/\Omega_p)} = \frac{\cosh^{-1}(1/k_1)}{\cosh^{-1}(1/k)}$
- Order *N* is chosen as the nearest integer greater than or equal to the above value

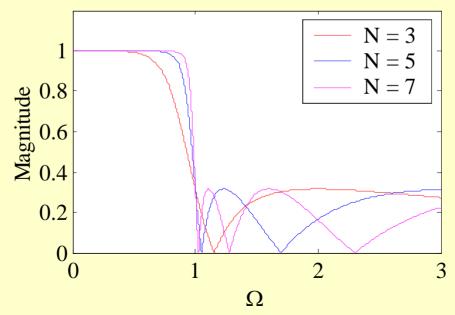
The magnitude-square response of an *N*-th order analog lowpass Type 2 Chebyshev (also called inverse Chebyshev) filter is given by

$$\left|H_{a}(j\Omega)\right|^{2} = \frac{1}{1 + \varepsilon^{2} \left[\frac{T_{N}(\Omega_{s}/\Omega_{p})}{T_{N}(\Omega_{s}/\Omega)}\right]^{2}}$$

where  $T_N(\Omega)$  is the Chebyshev polynomial of order N

• Typical magnitude response plots of the analog lowpass Type 2 Chebyshev filter are shown below

Type 2 Chebyshev Filter



• The order *N* of the Type 2 Chebyshev filter is determined from given  $\varepsilon$ ,  $\Omega_s$ , and *A* using

$$N = \frac{\cosh^{-1}(\sqrt{A^2 - 1}/\varepsilon)}{\cosh^{-1}(\Omega_s/\Omega_p)} = \frac{\cosh^{-1}(1/k_1)}{\cosh^{-1}(1/k)}$$

 <u>Example</u> - Determine the lowest order of a Chebyshev lowpass filter with a 1-dB cutoff frequency at 1 kHz and a minimum attenuation of 40 dB at 5 kHz -

$$N = \frac{\cosh^{-1}(1/k_1)}{\cosh^{-1}(1/k)} = 2.6059$$

• The square-magnitude response of an elliptic lowpass filter is given by

$$\left|H_{a}(j\Omega)\right|^{2} = \frac{1}{1 + \varepsilon^{2} R_{N}^{2}(\Omega/\Omega_{p})}$$

where  $R_N(\Omega)$  is a rational function of order N satisfying  $R_N(1/\Omega) = 1/R_N(\Omega)$ , with the roots of its numerator lying in the interval  $0 < \Omega < 1$  and the roots of its denominator lying in the interval  $1 < \Omega < \infty$ 

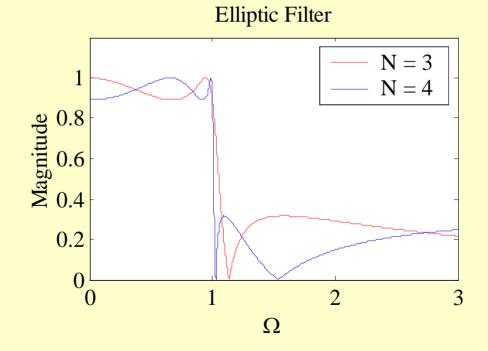
• For given  $\Omega_p$ ,  $\Omega_s$ ,  $\varepsilon$ , and A, the filter order can be estimated using

$$N \cong \frac{2 \log_{10}(4/k_1)}{\log_{10}(1/\rho)}$$

where 
$$k' = \sqrt{1 - k^2}$$
  
 $\rho_0 = \frac{1 - \sqrt{k'}}{2(1 + \sqrt{k'})}$   
 $\rho = \rho_0 + 2(\rho_0)^5 + 15(\rho_0)^9 + 150(\rho_0)^{13}$ 

- Example Determine the lowest order of a elliptic lowpass filter with a 1-dB cutoff frequency at 1 kHz and a minimum attenuation of 40 dB at 5 kHz Note: k = 0.2 and  $1/k_1 = 196.5134$
- Substituting these values we get k' = 0.979796,  $\rho_0 = 0.00255135$ ,  $\rho = 0.0025513525$
- and hence N = 2.23308
- Choose N = 3

• Typical magnitude response plots with  $\Omega_p = 1$ are shown below

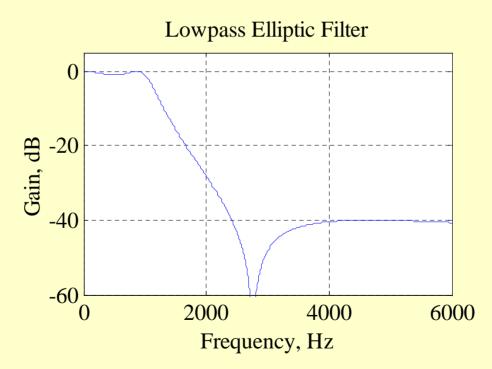


# **Analog Lowpass Filter Design**

- <u>Example</u> Design an elliptic lowpass filter of lowest order with a 1-dB cutoff frequency at 1 kHz and a minimum attenuation of 40 dB at 5 kHz
- Code fragments used [N, Wn] = ellipord(Wp, Ws, Rp, Rs, 's'); [b, a] = ellip(N, Rp, Rs, Wn, 's');with Wp = 2\*pi\*1000; Ws = 2\*pi\*5000; Rp = 1;Rs = 40;

#### **Analog Lowpass Filter Design**

• Gain plot



#### Design of Analog Highpass, Bandpass and Bandstop Filters

Steps involved in the design process:
 <u>Step 1</u> - Develop of specifications of a prototype analog lowpass filter *H<sub>LP</sub>(s)* from specifications of desired analog filter *H<sub>D</sub>(s)* using a frequency transformation
 <u>Step 2</u> - Design the prototype analog lowpass filter

<u>Step 3</u> - Determine the transfer function  $H_D(s)$ of desired analog filter by applying the inverse frequency transformation to  $H_{LP}(s)$ 

#### Design of Analog Highpass, Bandpass and Bandstop Filters

- Let *s* denote the Laplace transform variable of prototype analog lowpass filter  $H_{LP}(s)$ and  $\hat{s}$  denote the Laplace transform variable of desired analog filter  $H_D(\hat{s})$
- The mapping from *s*-domain to *s*-domain is given by the invertible transformation

$$s = F(\hat{s})$$

• Then  $H_D(\hat{s}) = H_{LP}(s)|_{s=F(\hat{s})}$  $H_{LP}(s) = H_D(\hat{s})|_{\hat{s}=F^{-1}(s)}$ 

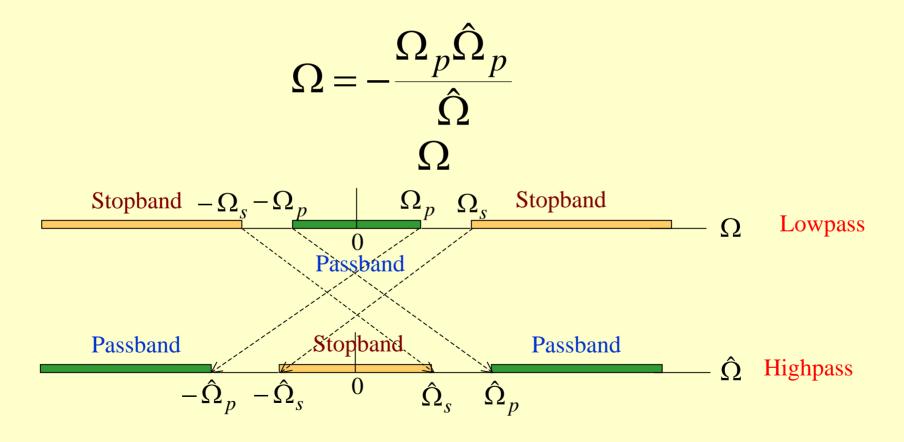
• Spectral Transformation:

$$s = \frac{\Omega_p \hat{\Omega}_p}{\hat{s}}$$

where  $\Omega_p$  is the passband edge frequency of  $H_{LP}(s)$  and  $\hat{\Omega}_p$  is the passband edge frequency of  $H_{HP}(\hat{s})$ 

• On the imaginary axis the transformation is

$$\Omega = -\frac{\Omega_p \hat{\Omega}_p}{\hat{\Omega}}$$



- Example Design an analog Butterworth highpass filter with the specifications:  $F_p = 4 \text{ kHz}, F_s = 1 \text{ kHz}, \alpha_p = 0.1 \text{ dB},$  $\alpha_s = 40 \text{ dB}$
- Choose  $\Omega_p = 1$

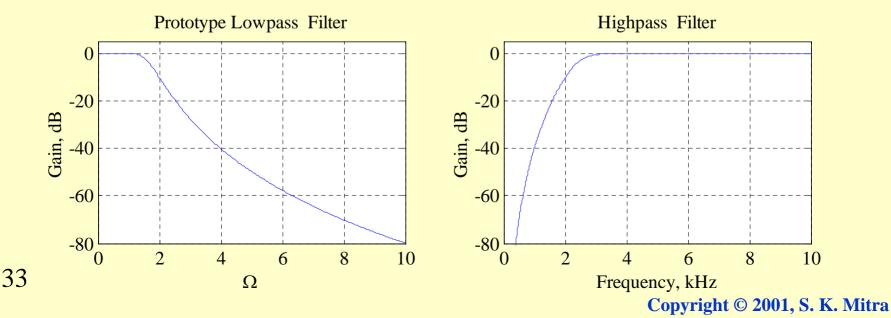
32

- Then  $\Omega_s = \frac{2\pi F_p}{2\pi F_s} = \frac{F_p}{F_s} = \frac{4000}{1000} = 4$
- Analog lowpass filter specifications:  $\Omega_p = 1$ ,

$$\Omega_s = 4, \alpha_p = 0.1 \text{ dB}, \alpha_s = 40 \text{ dB}$$

Copyright © 2001, S. K. Mitra

- Code fragments used
  [N, Wn] = buttord(1, 4, 0.1, 40, 's');
  [B, A] = butter(N, Wn, 's');
  [num, den] = lp2hp(B, A, 2\*pi\*4000);
- Gain plots



#### Analog Bandpass Filter Design

• Spectral Transformation  $s = \Omega_p \frac{\hat{s}^2 + \hat{\Omega}_o^2}{\hat{s}(\hat{\Omega}_{p2} - \hat{\Omega}_{p1})}$ 

where  $\Omega_p$  is the passband edge frequency of  $H_{LP}(s)$ , and  $\hat{\Omega}_{p1}$  and  $\hat{\Omega}_{p2}$  are the lower and upper passband edge frequencies of desired bandpass filter  $H_{BP}(\hat{s})$ 

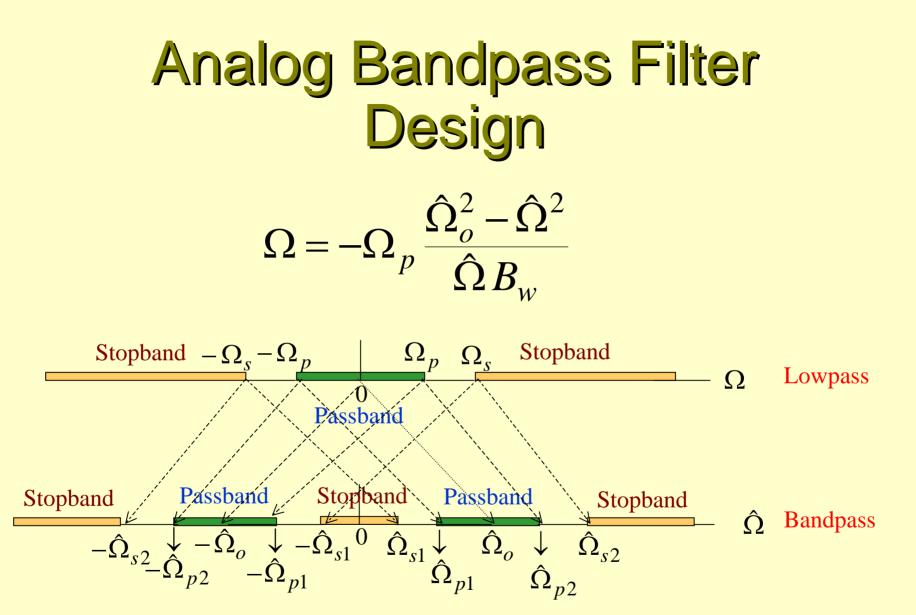
# Analog Bandpass Filter Design

• On the imaginary axis the transformation is

$$\Omega = -\Omega_p \frac{\hat{\Omega}_o^2 - \hat{\Omega}^2}{\hat{\Omega}B_w}$$

where  $B_w = \hat{\Omega}_{p2} - \hat{\Omega}_{p1}$  is the width of passband and  $\hat{\Omega}_o$  is the **passband center frequency** of the bandpass filter

• Passband edge frequency  $\pm \Omega_p$  is mapped into  $\mp \hat{\Omega}_{p1}$  and  $\pm \hat{\Omega}_{p2}$ , lower and upper passband edge frequencies



#### Copyright © 2001, S. K. Mitra

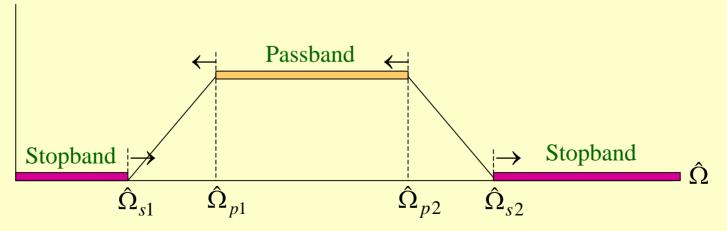
#### Analog Bandpass Filter Design • Stopband edge frequency $\pm \Omega_s$ is mapped

- Stopband edge frequency  $\pm \Omega_s$  is mapped into  $\mp \hat{\Omega}_{s1}$  and  $\pm \hat{\Omega}_{s2}$ , lower and upper stopband edge frequencies
- Also,

$$\hat{\Omega}_o^2 = \hat{\Omega}_{p1} \hat{\Omega}_{p2} = \hat{\Omega}_{s1} \hat{\Omega}_{s2}$$

• If bandedge frequencies do not satisfy the above condition, then one of the frequencies needs to be changed to a new value so that the condition is satisfied

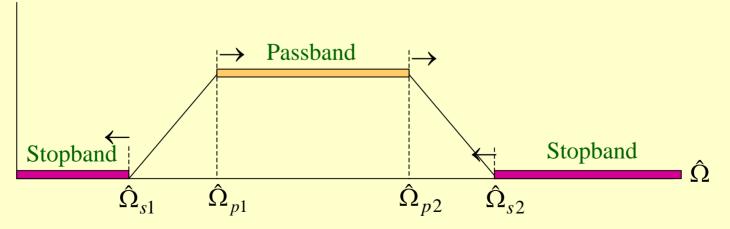
• Case 1:  $\hat{\Omega}_{p1}\hat{\Omega}_{p2} > \hat{\Omega}_{s1}\hat{\Omega}_{s2}$ To make  $\hat{\Omega}_{p1}\hat{\Omega}_{p2} = \hat{\Omega}_{s1}\hat{\Omega}_{s2}$  we can either increase any one of the stopband edges or decrease any one of the passband edges as shown below



**Analog Bandpass Filter** Design (1) Decrease  $\hat{\Omega}_{p1}$  to  $\hat{\Omega}_{s1}\hat{\Omega}_{s2}/\hat{\Omega}_{p2}$ larger passband and shorter leftmost transition band (2) Increase  $\hat{\Omega}_{s1}$  to  $\hat{\Omega}_{p1}\hat{\Omega}_{p2}/\hat{\Omega}_{s2}$ No change in passband and shorter leftmost transition band

- <u>Note</u>: The condition  $\hat{\Omega}_o^2 = \hat{\Omega}_{p1} \hat{\Omega}_{p2} = \hat{\Omega}_{s1} \hat{\Omega}_{s2}$ can also be satisfied by decreasing  $\hat{\Omega}_{p2}$ which is not acceptable as the passband is reduced from the desired value
- Alternately, the condition can be satisfied by increasing  $\hat{\Omega}_{s2}$  which is not acceptable as the rightmost transition band is increased

• Case 2:  $\hat{\Omega}_{p1}\hat{\Omega}_{p2} < \hat{\Omega}_{s1}\hat{\Omega}_{s2}$ To make  $\hat{\Omega}_{p1}\hat{\Omega}_{p2} = \hat{\Omega}_{s1}\hat{\Omega}_{s2}$  we can either decrease any one of the stopband edges or increase any one of the passband edges as shown below



(1) Increase Â<sub>p2</sub>to Â<sub>s1</sub>Â<sub>s2</sub>/Â<sub>p1</sub>
⇒ larger passband and shorter rightmost transition band
(2) Decrease Â<sub>s2</sub> to Â<sub>p1</sub>Â<sub>p2</sub>/Â<sub>s1</sub>
⇒ No change in passband and shorter rightmost transition band

- <u>Note</u>: The condition  $\hat{\Omega}_{o}^{2} = \hat{\Omega}_{p1}\hat{\Omega}_{p2} = \hat{\Omega}_{s1}\hat{\Omega}_{s2}$ can also be satisfied by increasing  $\hat{\Omega}_{p1}$ which is not acceptable as the passband is reduced from the desired value
- Alternately, the condition can be satisfied by decreasing  $\hat{\Omega}_{s1}$  which is not acceptable as the leftmost transition band is increased

- <u>Example</u> Design an analog elliptic bandpass filter with the specifications:  $\hat{F}_{p1} = 4 \text{ kHz}, \hat{F}_{p2} = 7 \text{ kHz}, \hat{F}_{s1} = 3 \text{ kHz}$  $\hat{F}_{s2} = 8 \text{ kHz}, \alpha_p = 1 \text{ dB}, \alpha_s = 22 \text{ dB}$
- Now  $\hat{F}_{p1}\hat{F}_{p2} = 28 \times 10^{6}$  and  $\hat{F}_{s1}\hat{F}_{s2} = 24 \times 10^{6}$ • Since  $\hat{F}_{p1}\hat{F}_{p2} > \hat{F}_{s1}\hat{F}_{s2}$  we choose  $\hat{F}_{p1} = \hat{F}_{s1}\hat{F}_{s2} / \hat{F}_{p2} = 3.571428$  kHz

- We choose  $\Omega_p = 1$
- Hence

$$\Omega_s = \frac{24 - 9}{(25/7) \times 3} = 1.4$$

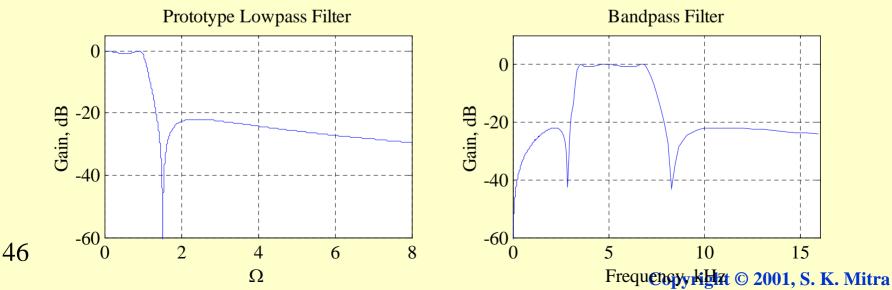
• Analog lowpass filter specifications:  $\Omega_p = 1$ ,  $\Omega_s = 1.4$ ,  $\alpha_p = 1 \, \text{dB}$ ,  $\alpha_s = 22 \, \text{dB}$ 

# Analog Bandpass Filter Design Code fragments used

[N, Wn] = ellipord(1, 1.4, 1, 22, 's'); [B, A] = ellip(N, 1, 22, Wn, 's'); [num, den]

= lp2bp(B, A, 2\*pi\*4.8989795, 2\*pi\*25/7);

• Gain plot



• Spectral Transformation

$$s = \Omega_s \frac{\hat{s}(\hat{\Omega}_{s2} - \hat{\Omega}_{s1})}{\hat{s}^2 + \hat{\Omega}^2}$$

where  $\Omega_s$  is the stopband edge frequency of  $H_{LP}(s)$ , and  $\hat{\Omega}_{s1}$  and  $\hat{\Omega}_{s2}$  are the lower and upper stopband edge frequencies of the desired bandstop filter  $H_{BS}(\hat{s})$ 

• On the imaginary axis the transformation is

 $\Omega = \Omega_s \frac{\hat{\Omega}B_w}{\hat{\Omega}_o^2 - \hat{\Omega}^2}$ 

where  $B_w = \hat{\Omega}_{s2} - \hat{\Omega}_{s1}$  is the width of stopband and  $\hat{\Omega}_o$  is the **stopband center frequency** of the bandstop filter

• Stopband edge frequency  $\pm \Omega_s$  is mapped into  $\mp \hat{\Omega}_{s1}$  and  $\pm \hat{\Omega}_{s2}$ , lower and upper stopband edge frequencies

- Passband edge frequency  $\pm \Omega_p$  is mapped into  $\mp \hat{\Omega}_{p1}$  and  $\pm \hat{\Omega}_{p2}$ , lower and upper passband edge frequencies
- Also,

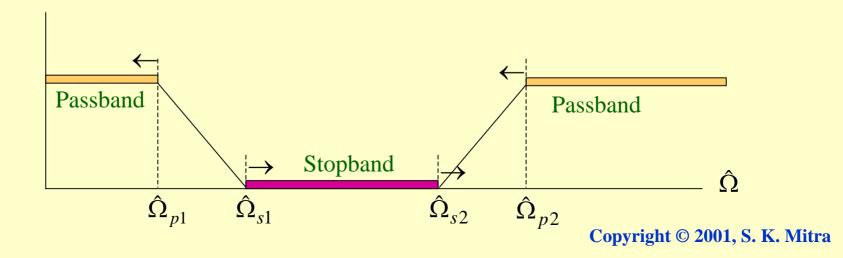
$$\hat{\Omega}_o^2 = \hat{\Omega}_{p1} \hat{\Omega}_{p2} = \hat{\Omega}_{s1} \hat{\Omega}_{s2}$$

• If bandedge frequencies do not satisfy the above condition, then one of the frequencies needs to be changed to a new value so that the condition is satisfied

• **Case 1**:  $\hat{\Omega}_{p1}\hat{\Omega}_{p2} > \hat{\Omega}_{s1}\hat{\Omega}_{s2}$ 

50

• To make  $\hat{\Omega}_{p1}\hat{\Omega}_{p2} = \hat{\Omega}_{s1}\hat{\Omega}_{s2}$  we can either increase any one of the stopband edges or decrease any one of the passband edges as shown below



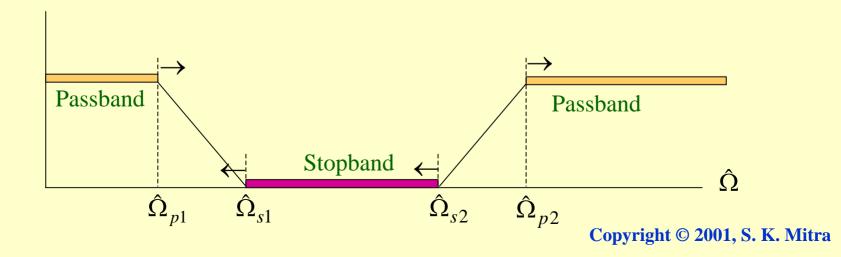
(1) Decrease Â<sub>p2</sub> to Â<sub>s1</sub>Â<sub>s2</sub> /Â<sub>p2</sub>
→ larger high-frequency passband and shorter rightmost transition band
(2) Increase Â<sub>s2</sub> to Â<sub>p1</sub>Â<sub>p2</sub> /Â<sub>s2</sub>
→ No change in passbands and shorter rightmost transition band

- <u>Note</u>: The condition  $\hat{\Omega}_o^2 = \hat{\Omega}_{p1} \hat{\Omega}_{p2} = \hat{\Omega}_{s1} \hat{\Omega}_{s2}$ can also be satisfied by decreasing  $\hat{\Omega}_{p1}$ which is not acceptable as the lowfrequency passband is reduced from the desired value
- Alternately, the condition can be satisfied by increasing  $\hat{\Omega}_{s1}$  which is not acceptable as the leftmost transition band is increased

• **Case 1**:  $\hat{\Omega}_{p1}\hat{\Omega}_{p2} < \hat{\Omega}_{s1}\hat{\Omega}_{s2}$ 

53

• To make  $\hat{\Omega}_{p1}\hat{\Omega}_{p2} = \hat{\Omega}_{s1}\hat{\Omega}_{s2}$  we can either decrease any one of the stopband edges or increase any one of the passband edges as shown below



(1) Increase Â<sub>p1</sub> to Â<sub>s1</sub>Â<sub>s2</sub> /Â<sub>p1</sub>
→ larger passband and shorter leftmost transition band
(2) Decrease Â<sub>s1</sub> to Â<sub>p1</sub>Â<sub>p2</sub> /Â<sub>s1</sub>
→ No change in passbands and shorter leftmost transition band

- <u>Note</u>: The condition  $\hat{\Omega}_o^2 = \hat{\Omega}_{p1} \hat{\Omega}_{p2} = \hat{\Omega}_{s1} \hat{\Omega}_{s2}$ can also be satisfied by increasing  $\hat{\Omega}_{p2}$ which is not acceptable as the highfrequency passband is decreased from the desired value
- Alternately, the condition can be satisfied by decreasing  $\hat{\Omega}_{s2}$  which is not acceptable as the stopband is decreased